

## **LIST OF RESOURCES FOR THE PRINCIPAL MANAGER/LOAN ORIGINATOR REGISTRATION ASSESSMENTS**

For information on the assessments, the Secretary of State, Securities Division has compiled the following list of sources from which some of the questions for the principal manager assessment and originator assessment were obtained. Please bear in mind that this list is not exhaustive but merely a helpful guide to some of the material on the assessment. This list is not intended as a substitute for any education course but merely a supplement. Also, this list does not in any way replace the outline contained within the candidate guide. All of the sources are readily available through an internet search.

### **Principal Manager Assessment**

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| 1. Indiana Loan Broker Act                  | 10. Gramm -Leach-Bliley Act                      |
| 2. Indiana Loan Broker Administrative Rules | 11. Fair Labor Standards Act                     |
| 3. Indiana Home Loan Practices Act          | 12. IRS 20-Point List                            |
| 4. Real Estate Settlement Procedures Act    | 13. <a href="http://www.hud.gov">www.hud.gov</a> |
| 5. Truth-In-Lending Act                     | 14. Freddie Mac Underwriting Guidelines          |
| 6. Equal Credit Opportunity Act             | 15. Fannie Mae Single Family Selling Guide       |
| 7. Fair Housing Act                         | 16. Fannie Mae Guidelines                        |
| 8. Homeowners Equity Protection Act         | 17. HUD-1 Settlement Statement Instructions      |
| 9. Patriot Act                              |  |

### **Loan Originator Assessment**

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| 1. Indiana Loan Broker Act                  | 10. Gramm -Leach-Bliley Act  |
| 2. Indiana Loan Broker Administrative Rules | 11. Fair Labor Standards Act   |
| 3. Indiana Home Loan Practices Act          | 12. Fannie Mae Handbook  |
| 4. Real Estate Settlement Procedures Act    | 13. Fannie Mae Single Family Selling Guide                             |
| 5. Truth-In-Lending Act                     | 14. Fannie Mae Mortgage Fraud Overview                                 |
| 6. Equal Credit Opportunity Act             | 15. Freddie Mac Handbook   |
| 7. Fair Housing Act                         | 16. Freddie Mac's "Preventing Mortgage Fraud"                          |
| 8. Homeowners Equity Protection Act         | 17. <a href="http://www.hud.gov">www.hud.gov</a>                       |
| 9. Patriot Act                              | 18. <a href="http://www.FederalReserve.org">www.FederalReserve.org</a> |